

## **Process and Apparatus for Segregation and Testing by Spectral Analysis of Solid Deposits Derived from Liquid Mixtures**

### Cross-reference to related applications

**[0001]** This application is based on and claims benefit to U.S. provisional applications 60/462,083 and 60/462,472, both filed on April 11, 2003, 60/490,057 filed July 25, 2003, 60/554,701 filed March 4, 2004, and 60/551,311 filed March 8, 2004.

### Background

**[0002]** This invention pertains to the combined use of solid substrates, micro-deposition techniques, spectral imaging methods, and data processing to facilitate the concentration and separate detection of biological polymers, oligomers, and monomers including proteins, peptides, polysaccharides, glycans, nucleotides, and other analytes including smaller molecules, impurities and buffers, in a liquid mixture, using spectral analysis such as normal Raman spectroscopy, infrared spectroscopy and matrix-assisted laser desorption/ionization (MALDI) time-of-flight mass spectrometry. The term "normal Raman" is specifically adopted to distinguish the spectral imaging methods that are preferred for use in the present invention from surface-enhanced Raman spectroscopy (SERS).

**[0003]** Raman spectroscopy is increasingly being recognized as an important analytical tool, particularly in pharmaceutical, biomedical and biological applications, as a result of Raman's high chemical "fingerprint" information content. The vibrational spectral features present in normal Raman spectra collected from many organic molecules contain molecular "finger prints" that can be used to identify, distinguish and even quantify the molecules of different structure, conformation, branching or chemical modification. Likewise, infrared (IR) spectroscopy is a useful tool in the non-destructive analysis of materials including biological and other organic analytes. U.S. Patent 5,334,837 to Ikeda et al. describes micro analytical

methods and associated apparatus for detecting and quantifying organic compounds with very high sensitivity using IR spectroscopy in which drops of a liquid sample are deposited on a hydrophobic layer of from about 0.1 to 25  $\mu\text{m}$  thickness located on an IR reflective or refractive surface of a sample holder that generally has a hydrophilic substrate, which can be stainless steel. Reduced suppression of absorption of infrared radiation by the hydrophobic layer is said to be achieved by reducing the thickness of the hydrophobic layer to between about 8  $\mu\text{m}$  and 16  $\mu\text{m}$ . Depressions or pin-holes of about 200  $\mu\text{m}$  diameter spaced on 5 to 10 mm centers are provided that generally protrude through the hydrophobic layer to the hydrophilic substrate to act as condensing nuclei for the sample solution. A liquid sample solution placed in contact with a depression or hole is said to ball up while drying so as to leave a coherent concentrated dried sample in and/or adjacent to the depression or hole for subsequent examination. While there is a discussion of possible co-elution of specimen liquid to a mass analyzer for simultaneous testing, there is no provision for Raman analysis, nor is there any provision for mass spectral analysis of the identical sample. There is also no discussion of any intentional separation of the liquid sample constituents in the depression or hole of the sample holder.

**[0004]** Advantages of Raman relative to other vibrational spectroscopies, such as mid-IR and near-IR absorption or reflectance, include the relative insensitivity of Raman to water as well as Raman's compatibility with optical microscopy and CCD camera detection methods. However, since Raman scattering has a much lower cross-section than fluorescence, normal Raman spectral features can be easily obscured by even trace quantities of fluorescent impurities. Furthermore, Raman scattering from solvents containing buffering agents can overwhelm the normal Raman scattering from biological compounds that are present at much lower concentrations. The signal integration time for Raman is also generally greater than for some other vibrational spectroscopes. Although normal Raman spectroscopy has

typically been restricted to high concentration condensed phase materials, that is liquids and solids, recent studies have demonstrated methods for obtaining high quality normal Raman spectra of biological compounds derived from increasingly lower concentration solutions. For example, a Raman system with enhanced collection efficiency has reportedly obtained normal Raman spectra from protein solutions with concentrations in the  $10^{-4}$  M range. A liquid core waveguide has reportedly been used to measure normal Raman spectra of proteins in the  $10^{-5}$  M concentration range with a total of about 10 nmol of protein probed using a 24 mW, 532 nm, excitation laser with an integration time of 3 minutes.

**[0005]** Various methods have previously been used to combat fluorescence background interference, none of which are universally applicable. Since fluorescence requires optical absorption, fluorescence can in some cases be effectively suppressed by using an excitation laser of either longer or shorter wavelength than the chromophore absorption band. Alternatively, fluorescence can be suppressed using time-resolved methods with gated or lock-in detection to distinguish Raman scattering from delayed fluorescence. A less sophisticated, but often quite effective, method for reducing fluorescence is photo-bleaching of the fluorescent compounds by prolonged exposure to the Raman excitation laser. In addition, fluorescence can be quenched by conductive solid substrates such as metals or graphitic materials. However, since efficient quenching requires direct contact with the conductive solid substrate, this method is only applicable to monolayer structures. Raman spectroscopy on such monolayer structures require either very high laser powers and cryogenic cooling, or electromagnetic and/or resonance enhancement of the monolayer Raman scattering, i.e. resonance Raman (RR) techniques.

**[0006]** Since Raman is a scattering rather than absorption process Raman testing can be performed on either optically transparent or opaque samples and substrates. Furthermore, no optical tagging or other chemical

pre-processing of the sample is required, although additional benefit can in some cases be derived from the use of Raman tags. A large number of previous studies have described the use of RR and SERS to increase the Raman scattering cross section of various compounds, including biological materials. RR enhancement requires an analyte with a chromophore that absorbs at the wavelength of excitation, which for most proteins is in the UV. Furthermore, RR only enhances Raman features that are strongly coupled to the chromophore and thus can omit other important structural information. An additional limitation of RR is the optical damage induced by heating and/or photochemistry. SERS, on the other hand, can provide even larger enhancement than RR using suitable nano-structured metal substrates. Key limitations of SERS include the generation of spurious background signals, optical damage susceptibility and often poor reproducibility, both in terms of absolute intensity and spectral shape. It is also noteworthy that most SERS studies of biological compounds have been performed using mM analyte concentrations. These comments should not be taken to imply that RR and SERS are not tremendously powerful bio-molecule analysis methods that can provide valuable structural and kinetic information and unrivaled single-molecule sensitivity.

**[0007]** The most powerful current methods for proteomic diagnostics are those based on mass spectroscopy, particularly MALDI TOF MS, as these may be used to determine amino acid sequence, post-translational modification and even protein-protein interactions. High detection sensitivity combined with high sample throughput can be achieved with the use of a pre-structured sample support that confines each diagnostic specimen to a spot of less than 200  $\mu\text{m}$  diameter. This sample geometry is achieved with stainless steel sample supports coated with a 30 to 50  $\mu\text{m}$  thick polytetrafluoroethylene (PTFE) layer onto which an array of gold islands is deposited using a photolithographic mask. The islands, formed as 200  $\mu\text{m}$  diameter round disks located at 2.25 mm spacing, act as hydrophilic sample anchors that hold liquid

sample droplets in place during solvent evaporation. The water-repellent PTFE surface surrounding each island ensures that the droplets remain located on the gold islands so that, after evaporation, uniform specimens of known geometry are located at predetermined positions on the support (Bruker Anchorchip). However, accurate quantification remains a significant challenge and ambiguities can exist in the analysis of biological and organic analytes of different structure but equal mass. Thus the sensitivity of normal Raman spectroscopy to differences in conformation, branching and binding can be useful to compliment mass spectroscopy by providing a valuable additional dimension of chemical structure information. Furthermore, normal Raman can in some cases lead to a stand-alone detection, identification and/or quantification method for proteomic analysis.

**[0008]** Thus, there remains a need for a method for separating and detecting the presence of analytes, particularly proteomic analytes, at very low concentrations in liquid specimens containing much larger concentrations of other molecules of potentially masking character. There remains a further need for non-destructive testing procedures for selected analytes that can be repeatedly performed on any given specimen, preferably using multiple techniques to accumulate a library of information on a given sample. There also remains a need for very simply eliminating fluorescence interference from the normal Raman spectra of impure solids, for example, biological amino acids and proteins. There is also a need for a sample support that can be used in IR spectroscopy, Raman spectroscopy and MALDI mass spectroscopy that exhibits low optical absorbance, high optical reflectance, little or no interfering background signals, and, a non-wetting interaction with the analyte solution, i.e., low solvent affinity, and that is useful with a range of solvents. There is a further need for methods of handling extremely small volumes of sample liquids containing analytes at very small concentration.

#### Summary of the Invention

**[0009]** The analyte segregation and testing method of the present invention starts with the formation of a suitable sample support. The sample support used in the analyte segregation method of the present invention must be compatible with the measurement of normal Raman scattering spectra in the sense that they produce minimal interfering background signals such as luminescence and/or Raman scattering from the substrate alone. Further, these same performance characteristics for the sample support also allow its use as a sample holder for a common specimen to be tested by normal Raman, IR spectroscopy, and MALDI. The sample supports are generally formed to include a substantially planar substrate, which can be either reflective or transmissive of the range of spectra of interest. In a preferred embodiment, a reflective planar substrate includes a metallic surface on the substrate having a roughness of less than about one-tenth the wavelength of the radiation to be applied to specimens. Suitable substrates include nominally flat gold foil or gold coated glass, and planar polished stainless steel. Other metals that can be used include silver, platinum, titanium, aluminum, and alloys of these and other metals. Transmissive substrates may include inorganic materials, for example, quartz, germanium, gallium arsenide, or zinc sulfide, and polymeric materials such as polyethylene, polypropylene, polycarbonate, polyacrylate, polymethacrylate, polyethyleneterephthalate, and polystyrene.

**[0010]** Except possibly in the case of a naturally solvophobic metal, a solvophobic enhancement layer, having a thickness of less than about 100nm and preferably having a thickness of less than about one-quarter the wavelength of the radiation of interest, is added to cover the substrate surface to inhibit spreading of a specimen liquid to an undesirable extent. The solvophobic enhancement layer can be formed, for example, from a fluorinated polymer, a fluorinated hydrocarbon, or a thiol derivative of a hydrocarbon. A self-assembled organic monolayer (SAM) particularly suitable for use on gold can be formed from alkyl chains with terminal thiol groups that

bind to the gold surface. While SAMs are generally not as stable or robust as polymeric coatings, the solvo-phobic character of the alkyl chains can be manipulated by suitable selection of organic residues. The coated substrate sample supports have an advantage of also being solvo-phobic to many polar solvents, thus limiting or controlling the spread of a deposited drop.

**[0011]** The analyte segregation can be achieved in the present method by depositing droplets of an analyte solution, which can include buffers and contaminants, on a selected sample support and then drying each droplet in such a way that the analyte and other compounds accumulate in physically separated regions. The deposit of the micro-droplet can be performed by manual micropipette depositions of 1-10  $\mu$ l solution volumes to produce sample spots of 0.5-5 mm diameter. However even smaller volumes can be deposited using ink-jet micro-printing, for example of 8 nl solution volumes to produce spots of 15  $\mu$ m diameter containing less than 25 fmol of an organic analyte of interest. Standardization of the procedure and apparatus can be accomplished using a micro-droplet from a known standard solution of a given molecule. Sources for the micro-droplet can include any of an array of chromatographic fractions derived from any separation method such as, for example, high pressure liquid chromatography, capillary electrophoresis, reverse phase high pressure liquid chromatography, with or without pre- or post-separation or digestion of proteins to peptides, when proteins are the analyte in the sample.

**[0012]** Following the deposit of the micro-droplet on the selected sample support, the solvent can be evaporated from the micro-droplet under conditions that promote accumulation of the target analyte and the buffer or other compounds as spatially separated precipitates. It has been observed that deposits of biological polymers and oligomers including proteins, peptides, nucleotides, and other less soluble molecular analytes from a drop of liquid placed on a non-wetting substrate often accumulate in a circular ring formed during the evaporation process. This ring forming effect, sometimes

referred to as the “coffee-ring” effect, localizes the deposited analyte and thus facilitates additional detection. Generally, the larger, less soluble components tend to precipitate near the edges of the deposit, while buffers and other more soluble compounds are distributed throughout the deposit region and more concentrated toward a central portion of the deposit. This analyte segregation method can also be used advantageously to segregate different analyte components in a mixture, such as compounds of different chemical composition and/or structure and thus facilitate the analysis of each separate component. The evaporation conditions required for optimal segregation can include temperature and other parameters that influence evaporation rate, such as the control of vapor phase convection of the solvent during the evaporation process. While the efficiency of this segregation process can in general depend on a number of variables, the process is generally applicable to biological compounds when using one of the previously identified substrates and evaporating in ambient or desiccated air at normal room temperature. This method can also be applied at other lower or higher temperatures under various atmospheric conditions. Potential advantages of various conditions include higher evaporation speed (such as at higher temperature) or improved segregation of different components (such as in higher solvent vapor pressure atmosphere). In some applications, high temperatures that might lead to degradation or denaturation of the biological compounds are to be avoided. This physical separation can be achieved by depositing a micro-droplet of solution, typically between 10 nl and 10  $\mu$ l on a selected substrate. The total time required for deposition and solvent evaporation at normal room temperature is typically about 10 minutes for  $\mu$ l depositions and only about 1 minute for nl depositions, which is roughly comparable to the time required for normal Raman signal collection.

**[0013]** After solvent evaporation, collection of normal Raman spectra from the segregated analyte deposits can be achieved using an epi-illuminated micro-spectrometer focused on a selected small portion of the



deposit ranging between about 1  $\mu\text{m}$  to about 100  $\mu\text{m}$  in diameter. Spectral imaging methods can be used to locate and measure the normal Raman spectra from regions containing the analyte of interest. Pre-scans using IR spectroscopy can be used to identify regions of particular interest. High quality normal Raman spectral data can be collected of proteins derived from concentrations down to about 0.1  $\mu\text{M}$  and protein surface densities down to about 1 pg per square  $\mu\text{m}$  of surface area using a 12 mW, 633 nm, HeNe laser with integration times ranging from about 5 seconds to about 500 seconds. This lower limit of surface density corresponds to about 0.1 fmol per square  $\mu\text{m}$  of surface area for a protein of 10,000 g/mol molecular weight. Lasers of other wavelengths and of other power can be used, in either continuous or pulsed mode, as long as the total energy delivered to the deposited analyte is insufficient to produce damage to the deposited analyte. Continuous lasers delivering up to 100 mW per square  $\mu\text{m}$  of surface area can generally be used without producing optical damage to proteins. Lasers having power greater than 1 Watt may be used when employing substrates and wavelengths selected to have very low substrate optical absorption and thus low optically induced heating. The spectral data can be collected using a portion of the laser delivery optics.

**[0014]** The spectral data can then analyzed using a variety of numerical techniques intended to enhance the ability to detect a given molecule of interest. One technique involves using a Savistky-Golay second derivative algorithm to suppress both broadband background and pixel noise while retaining the desired Raman spectral features. Another technique involves normalizing the output of the second derivative algorithm in order to suppress intensity variations that can occur due to drifts in laser power or optical alignment, changes in the number of analyte molecules within the optical collection region, or other effects unrelated to analyte chemistry. Another technique involves classifying the previously processed spectra with a partial least square discriminant program to cluster specimens having

common contents together. Yet another technique involves plotting the pseudo probabilities of various classified spectra belonging to a given group, which again clusters specimens having common characteristics together. Still another technique involves subtracting the normalized spectral outputs of two specimens to detect any spectral differences indicating differences in the specimen contents.

**[0015]** A key advantageous feature of the analyte segregation method of the present invention is that the method facilitates the concentration or agglomeration of analytes of interest in essentially pure solid form at surface densities compatible with normal Raman detection and IR spectroscopy without any interference from buffer or other compounds that may be originally present in a deposited micro-drop in a 100-fold or greater excess thus facilitating the measurement of normal Raman and other spectra. The entire deposition and spectral data collection process can be performed in only a few minutes. This method can also be used to very effectively remove fluorescence, buffer and other contaminant introduced interferences from normal Raman spectra. The procedure can be used to segregate and identify proteins and other organic polymers and oligomers dispersed at  $\mu\text{M}$  concentration levels in liquids that also contain inorganic or organic buffer compounds and other contaminants at mM concentration.

**[0016]** The analyte segregation method of the present invention that facilitates the concentration or agglomeration of biological polymers such as proteins, peptides, and other molecules of interest can be used with a variety of spectral detection procedures including, for example, THz spectroscopy; far infrared spectroscopy; infrared spectroscopy; normal Raman spectroscopy, resonance Raman spectroscopy; visible spectroscopy; reflectance spectroscopy and microscopy; transmittance spectroscopy; ultraviolet spectroscopy; far ultraviolet or vacuum ultraviolet spectroscopy; x-ray spectroscopy; optical rotatory dispersion; circular dichroism including

ultraviolet, visible and infrared; fluorescence and phosphorescence spectroscopy; atomic adsorption; magnetic resonance including nuclear magnetic resonance, electron paramagnetic resonance, electron spin resonance; and mass spectrometry, including variations of ionization methods such as electron impact, chemical ionisation, matrix assisted laser desorption ionization, and detection methods, including sector detection, quadrupole detection, ion-trap, time-of-flight, and Fourier transform.

**[0017]** Additional features and advantages of the present invention will become apparent to those skilled in the art from a consideration of the following discussion of preferred embodiments and examples, which reference the accompanying drawings.

#### Brief Description of the Drawings

**[0018]** Figures 1(a) and 1(b) are white light images of O-phospho-L-serine (a) and myoglobin (b) on a sample support of polished stainless steel coated with 50 nm of PTFE after solvent evaporation. The deposition volume for both samples is 4  $\mu$ l. The concentrations of the deposited solutions are 10 mM for O-phospho-L-serine and 60  $\mu$ M myoglobin. The bar in image (a) is 50  $\mu$ m long and both images have the same magnification.

**[0019]** Figure 2 is a graphical presentation of the Raman spectra obtained from O-phospho-L-serine. Spectra (a) and (b) were obtained from a powder specimen while spectrum (c) was from a solution deposited according to the present invention. Spectra (a) and (b) were obtained after 7 minutes and 65 minutes of laser pre-illumination, respectively, while spectrum (c) was obtained with no pre-illumination. A laser power of 12 mW (at the sample) and an integration time of 10 seconds were used to collect each spectrum.

**[0020]** Figure 3 is a graph of the spectral intensities at 1300  $\text{cm}^{-1}$  in the series of Raman spectra of O-phospho-L-serine powder, after photobleaching for different illumination periods. The experimental data points are fit to an exponential curve.

**[0021]** Figure 4 is a graphical presentation of Raman spectra obtained from myoglobin. Spectra (a) and (b) were obtained from a compressed pellet of myoglobin powder while spectrum (c) was from a solution deposited according to the present invention. Spectrum (b) was obtained after one hour of laser pre-illumination, while the other spectra were obtained with no pre-illumination. A laser power of 0.25 mW (at the sample) and an integration time of 200 seconds were used to collect all three spectra.

**[0022]** Figure 5A is a white light optical image of a region near the edge of an about 4 mm diameter circular deposit of a 10  $\mu$ L volume of solution containing 10  $\mu$ M lysozyme and 1 mM PBS on a 50 nm PTFE on stainless steel sample support.

**[0023]** Figure 5B shows the corresponding Raman spectra (a) and (b) taken from regions a and b, respectively, shown in Figure 5A. The top spectrum in Figure 5B is the Raman spectrum a similarly deposited sample of a solution of 10  $\mu$ M lysozyme without any buffer. All spectral acquisition times were 60 seconds.

**[0024]** Figure 6A is a white light optical image of a region near the edge of an about 4 mm diameter circular deposit of a 10  $\mu$ L volume of solution containing 10  $\mu$ M lysozyme and 1 mM Tris-HCl buffer on a 50 nm PTFE on stainless steel sample support.

**[0025]** Figure 6B shows the corresponding Raman spectra (a) and (b) taken from regions a and b, respectively, shown in Figure 6A. The top spectrum in Figure 6B is the same as the top spectrum in Figure 5B.

**[0026]** Figure 7A is a white light optical image of a region near the edge of an about 4 mm diameter circular deposit of a 10  $\mu$ l volume of solution containing 100  $\mu$ M human insulin and 10 mM PBS buffer on a 50 nm PTFE on stainless steel sample support.

**[0027]** Figure 7B shows the corresponding Raman spectra (a) and (b) taken from regions a and b, respectively, shown in Figure 7A with spectral

acquisition times of 60 seconds. The top spectrum in Figure 7B is the Raman spectrum of lyophilized solid human insulin taken with a 400 second integration time ( $\times 30$  for scaling purposes).

**[0028]** Figure 8A is a white light optical image of a region near the edge of an about 4 mm diameter circular deposit of a 10  $\mu$ l volume of solution containing 100  $\mu$ M human insulin and 10 mM Tris-HCl buffer on a 50 nm PTFE on stainless steel sample support.

**[0029]** Figure 8B shows the corresponding Raman spectra (a) and (b) taken from regions a and b, respectively, shown in Figure 8A with spectral acquisition times of 60 seconds. The top spectrum in Figure 8B is the same as the top spectrum in Figure 7B.

**[0030]** Figures 9a-d show the non-enhanced spontaneous Raman spectra taken using the methods of the present invention of four synthetically prepared peptides that have the same amino acid sequence, and differ only in the site of phosphorylation. The volume, 10  $\mu$ l, and the concentration, 100  $\mu$ M, of the deposited solution is the same for all samples, as is the integration time, 100 seconds, and laser power, 12 mW.

**[0031]** Figure 10 shows the classification results on the collected spectra for the four synthetically prepared peptides using PLS discriminant analysis with leave-one-out validation method. Each point represents a complete spectrum whose coordinates are the pseudo-probabilities that this particular spectrum belongs to nP and tP. The true identity of each peptide is indicated by the shape of the marker used for each point. The yP peptide results are not shown since this peptide is much easier to spectroscopically distinguish from the other three peptides shown.

**[0032]** Figure 11A shows the amino acid sequence of proto-oncogene tyrosine-protein kinase Src (EC 2.7.1.112) (p60-src) (c-src), P12931 from NCBI (National Center for Biotechnology Information) website. The sequence is 536 amino acids long.

**[0033]** Figure 11B shows the amino acid sequences of four peptides. The numbers after Y denotes the Tyr phosphorylation site, while the numbers in square brackets denote the location of the sequence in the p60-src protein. The first three peptides Y-216, Y-419 and Y-530[505-536] are the same as those produced by tryptic digestion of the human p60-src protein. Note that corresponding tyrosine sites in proteins homologous to the chicken p60-src protein are Y-213, Y-416 and Y-527.

**[0034]** Figure 12 shows the normal Raman spectra of (a) pY-216 and (b) Y-216 taken using the methods of the present invention. The volume of 5  $\mu\text{L}$  and the concentration of 100  $\mu\text{M}$  of the deposited solution is the same for both samples, as is the integration time, 100 seconds, and laser power, 12 mW.

**[0035]** Figure 13 shows the normal Raman spectra of (a) pY-419 and (b) Y-419 taken using the methods of the present invention. The experimental conditions are the same as in Figure 12.

**[0036]** Figure 14 shows the normal Raman spectra of (a) pY-530 [505-536] and (b) Y-530[505-536] taken using the methods of the present invention. Both peptides have concentration as 50  $\mu\text{M}$ , and the other experimental conditions are the same as Fig 12.

**[0037]** Figure 15 shows the normal Raman spectra of (a) pY-530[524-536] and (b) Y-530[524-536] taken using the methods of the present invention. The experimental condition is same as in Figure 12.

**[0038]** Figure 16 shows the normal Raman spectra of Y-530[524-536] peptide mixtures with (a) 0%, (b) 20%, (c) 40%, (d) 60%, (e) 80% and (f) 100% phosphorylation at the Y-530 residue. The total peptide concentration and other conditions are the same as in Figure 12.

**[0039]** Figure 17 shows the normal Raman/PLS derived predictions of percent phosphorylation of Y-530[524-536] peptide mixtures (see spectra in Figure 14) plotted as a function of the actual percent phosphorylation. The error bars indicate the variation of predictions obtained from 20 independently

collected spectra. The average standard deviation for all 180 measurements is  $\pm 2\%$ .

**[0040]** Figure 18 shows the known sequence of human insulin with the amino acids represented in white being the only locations in which the human, bovine and porcine insulin variants differ, as indicated in Table 2.

**[0041]** Figure 19A shows the average Raman spectra of (a) human, (b) bovine, and (c) porcine insulin.

**[0042]** Figure 19B shows the corresponding normalized second derivative (NSD) of the spectra shown in Figure 19A.

**[0043]** Figure 20A shows the average Raman difference spectra for human minus porcine insulin.

**[0044]** Figure 20B shows the average NSD difference spectra corresponding to Figure 20A.

**[0045]** Figures 21A-C show a superposition of thirty individual NSD Raman spectra of insulin variants where (A) is human (black) and bovine (gray), (B) is bovine (black) and porcine (gray), and (C) is human (black) and porcine (gray).

**[0046]** Figure 22 is a psuedo-probability score plot for the classification of the three insulin variants.

**[0047]** Figure 23A is a graph of Raman spectra obtained from RPHPLC eluent fractions containing (a) bovine and (b) human insulin.

**[0048]** Figure 23B is a graph of the spectra obtained from RPHPLC eluent fractions shown in Figure 23A for the (a) bovine and (b) human insulin.

**[0049]** Figure 24 is a graph of the background spectra from a glass cover slip before (a) and after (b) coating with 20 nm of gold, and from stainless steel coated with about 50 nm of PTFE (c). The integration time for all spectra is 200 seconds with a laser power of 12mW.

**[0050]** Figure 25 is a graph of normal Raman spectra obtained after depositing lysozyme aqueous solutions on a PTFE-over-gold-coated glass

substrate: (a) 2  $\mu$ l, 100  $\mu$ M deposit probed with a laser power of 0.3 mW and integration time of 600 seconds; (b) 2  $\mu$ l, 100  $\mu$ M deposit probed with a laser power of 12 mW and integration time of 100 seconds; (c) 8 nl, 3  $\mu$ M deposit produced by ink-jet micro-printing using 40 co-additions with a total of 25 fmol of lysozyme deposited in a 15  $\mu$ m diameter spot, probed with a laser power of 12 mW and an integration time of 400 seconds. The spectra are shifted vertically for clarity and spectrum (a) is scaled by a factor of 10 while (c) is scaled by a factor of 5 after subtracting the substrate background spectrum shown in Figure 24.

**[0051]** Figure 26A is a white light image of several ring deposits from a 96 x 96 drop array formed by micro-printing.

**[0052]** Figure 26B is a normal Raman shift spectrum of lysozyme taken from one of the ring deposits shown in Figure 26A.

**[0053]** Figure 27A is a white light image of the ring from which the spectrum shown in Figure 26B was taken.

**[0054]** Figure 27B is a FTIR “false color” image of the same ring shown in Figure 27A with the “hot spot” in the ring overlaid by aiming cross-hairs.

**[0055]** Figure 28 shows four FTIR absorbance spectra and four Raman shift spectra of lysozyme taken from the same four points within the “hot spot” identified in Figure 27B.

**[0056]** Figure 29 shows spectra derived in a manner similar to that of Figure 28 from a different specimen of carbamazepine.

**[0057]** Figure 30 is a normal Raman spectra derived from 10 $\mu$ l of (a) 21  $\mu$ M human insulin and (b) 3  $\mu$ M bovine insulin, each derived from an HPLC fraction in acetonitrile/water with a 48:52 volume ratio, and using and integration time is 1000 seconds.

**[0058]** Figure 31 are graphs of a normal Raman spectrum (a) and MALDI-TOF spectrum (b) obtained sequentially on the same deposit of 2  $\mu$ l of 1  $\mu$ M lysozyme solution. The integration time for spectrum (a) is 200 seconds



with laser power of 12mW. The MALDI-TOF spectrum is the sum of 100 accumulative acquisitions.

**[0059]** Figure 32 are graphs taken from a deposit of lysozyme on PTFE coated quartz. Spectrum (a) is taken from a selected point on a ring deposit, spectrum (b) is taken from a region outside the ring reflecting the background for the specimen support, and spectrum (c) is the difference between spectra (a) and (b).

#### Description of Preferred Embodiments

**[0060]** The analyte segregation and testing methods of the present invention generally requires a substantially planar solvophobic sample support having an optically smooth surface desirably producing minimal interfering background signals such as luminescence and/or Raman scattering from the sample support alone. The sample supports are selected for their ability to diminish or restrict spreading of the sample and, preferably, to increase by surface tension the contact angle between the solvent of the sample and the surface. The sample supports generally comprise a substrate covered by a solvophobic enhancement layer. Suitable substrates can include nominally flat metals such as gold, silver, platinum, titanium, aluminum, and alloys of these and other metals. The methods of the present invention can be practiced with substrates consisting essentially of gold, which can be in the form of merely gold foil of about 0.1 mm thickness, or glass coated with about 10-100 nm of chromium and over coated with about 10-100 nm of gold. The glass portion of the substrate can comprise a glass slide or cover slip, which exhibits a flat surface. A preferred sample support can be constructed from a substrate having a gold surface, such as previously described, further modified with a self-assembled organic monolayer. A self-assembled organic monolayer particularly suitable for use on gold can be formed from alkyl chains with terminal thiol groups that bind to the gold surface. The solvophobic character of the alkyl chains can be manipulated by suitable selection of organic residues. A further preferred substrate is stainless steel

coated with an about 50 nm layer of PTFE. The PTFE layer can be applied by spin coating at least one layer of a diluted PTFE resin material on the surface of the low background substrate. For example, a sample support having a solvophobic layer of less than about 50 microns can be made by spin coating a Teflon<sup>®</sup> AF solution (Grade 400S1-100-1), available from DuPont (Wilmington, DE) diluted with Fluorient FC-40, available from 3M Company (Minneapolis, MN) onto a gold layer that had been vacuum coated onto a glass support. The PTFE layer can be applied to a variety of substrates including optically transmissive as well as optically reflective surfaces.

**[0061]** The analyte segregation can be achieved in the present method by depositing droplets of an analyte solution, typically between about 10 pL and 10  $\mu$ L, which can be an analyte-buffer mixture, on a selected sample support. A preferred source of the micro-droplet includes a direct output from any chromatographic separation apparatus, for example, high pressure liquid chromatography, capillary electrophoresis, reverse phase high pressure liquid chromatography. Deposition can be performed manually, or by using various automated micro-deposition or micro-printing techniques including methods generally known as piezo-electric "ink-jet" techniques. The later techniques permit more than one droplet to be placed on the sample support at the same precise location, generally spaced by sufficient time to permit drying of each droplet before addition of a subsequent droplet, thus enabling increased analyte density at a given droplet location.

**[0062]** Following the deposit of the droplet on the selected sample support, the solvent can be evaporated from the micro-droplet under conditions that promote accumulation of the analyte and buffer or other compounds as spatially separated precipitates that accumulate in physically separated regions of the solid sample support. The evaporation conditions for optimal segregation can be regulated by control of the immediate environment of the deposit region including temperature, solvent vapor pressure, convection rates and other parameters. The evaporative process generally

can be terminated when the specimen is visibly dry even though the specimen may retain some small portion of the largely evaporated solvent. Even prolonged evaporative conditions at low to moderate temperatures appear to have little further effect on most proteins, peptides and other larger biomolecules.

**[0063]** After solvent evaporation, collection of normal Raman and other spectra from the segregated analyte deposits can be achieved by focusing on an appropriate portion of the remaining deposit. It may be beneficial in some circumstances to initially scan the deposit using visible or IR wavelength to identify regions within the deposit of particular interest. Normal Raman spectra can be collected using an epi-illuminated micro-spectrometer using a 12 mW, 633 nm, HeNe laser with integration times ranging from about 5 seconds to about 500 seconds. The laser is focused on a selected small portion of the deposit ranging between about 1  $\mu\text{m}$  to about 100  $\mu\text{m}$  in diameter with the laser beam arranged substantially perpendicularly to the substrate. The spectral data is preferably collected from reflective sample supports using a portion of the laser delivery optics. Other spectra, for example, infrared and visible spectroscopy, can also be collected from the regions containing the analyte of interest, generally using other radiation sources and other collection optics, preferably connected to at least part of the same objective lens system to ensure identity of the sample target.

**[0064]** The spectral data can then analyzed using a number of numerical techniques intended to enhance the ability to detect a given molecule of interest. The specific methods of the present invention including the numerical techniques are disclosed in the following examples:

#### EXAMPLE SET A

**[0065]** For the first example of the methods of the present invention that also demonstrates a significant reduction in undesirable fluorescence background, O-phospho-L-serine (Cat. No. P-0878, Lot 21K1389, Purity of

99%), myoglobin (Cat. No. M-0630, Lot 110K7034, Purity of 95-100%) and lysozyme (From chicken egg white) were obtained from Sigma. Synthetic human insulin (Humulin<sup>®</sup>) was provided by Eli Lilly & Co. High purity water ( $18.2 \text{ M}\Omega \text{ cm}^{-1}$ ) generated with MilliQ plus system (Millipore) was used to prepare a saturated O-phospho-L-serine ( $\sim 10 \text{ mM}$ ) and  $60 \text{ }\mu\text{M}$  myoglobin solution.  $4 \text{ }\mu\text{L}$  droplets of saturated O-phospho-L-serine and  $60 \text{ }\mu\text{M}$  myoglobin are deposited and dried on a substrate of polished stainless steel coated with  $50 \text{ nm}$  of PTFE. Figure 1 shows the morphologies of the O-phospho-L-serine (a) and myoglobin (b) on the sample support after solvent evaporation. Both images are of the same size, the bar in image (a) represents  $50 \text{ }\mu\text{m}$ . Although the deposited volume is the same for both samples ( $4 \text{ }\mu\text{L}$ ), the spot size of myoglobin after solvent evaporation is about 3 times larger in diameter than that of O-phospho-L-serine. The spot size appears to be dependent on factors other than merely the characteristics of the solvent and sample support surface as might be expected.

**[0066]** Figure 2 compares the fluorescence background reduction results obtained from an O-phospho-L-serine sample using either the photobleaching technique with the results obtained from the isolation of a sample on a  $50 \text{ nm}$  PTFE on stainless steel sample support. Spectra (a) and (b) were collected from the solid powder subsequent to photobleaching (using the Raman excitation laser) for  $7 \text{ min}$  and  $65 \text{ min}$ , respectively. Spectrum (c) was obtained from a crystal formed on the substrate without prior laser illumination. All of the spectra were obtained using a  $20\times$  objective and an integration time of  $10 \text{ seconds}$ .

**[0067]** A series of photobleaching spectra collected after different periods of laser illumination indicate that partial photobleaching takes place with an exponential  $1/e$  time constant of about  $17 \text{ minutes}$  under the stated experimental conditions. Figure 3 shows the signal intensity at  $1300 \text{ cm}^{-1}$  of the series of spectra each obtained with an integration time of  $10 \text{ seconds}$ ,

after different periods of continuous laser illumination as indicated on the horizontal axis. The exponential fit curve shown in Figure 3,

$I(t) = 16016 + 38631e^{-0.06t}$ , indicates that photobleaching can only reduce the background to about 16,000 counts after prolonged laser exposure, while the method of the present invention produced an initial background of only about 5,000 counts. Thus, the deposition and resulting analyte segregation according to the present invention reduced the initial fluorescence background by more than a factor of 10 (from over 50,000 counts to 5,000 counts). The small residual background remaining in the inventive deposit is relatively insignificant since the background intensity is smaller than that of the analyte Raman features. This residual background is not due to the substrate, which produces a background of less than 100 counts. Since the residual background can be further decreased by photobleaching, the background appears to be due to a small amount of fluorescence contamination remaining in the region of the amino acid deposit.

**[0068]** As a further example of the protein analyte-buffer segregation methods of the present invention, the Raman spectra of myoglobin is shown in Figure 4. Spectra (a) and (b) were produced from a compressed pellet of the powdered sample. Spectrum (c) was produced from a selected region of the ring shown in Figure 1(b) adjacent the outer edge of the deposit. Spectra (a) and (c) were obtained with no pre-illumination while spectrum (b) was obtained after one hour of illumination. All the spectra are taken with an 80× objective and integration time of 200 seconds and a reduced laser power of 0.25 mW, in order to avoid thermal damage produced by optical absorption. As in the case of O-phospho-L-serine, the analyte segregation method of the present invention is again much more efficient and effective than the photobleaching method in reducing fluorescence interferences.

**[0069]** Even in the absence of significant fluorescence interference, the present method produces much higher quality Raman spectra than that those

obtained directly from a solid protein powder. This may be due to the fact that the protein solids are typically composed of very thin fibers or flakes, each of which contain too little solid material to produce strong Raman scattering, even after careful optical alignment. Furthermore, although compression of the protein powder into a pellet produces somewhat better results, our experience indicates that Raman spectra obtained from protein pellets are invariably lower in quality than those obtained from the deposits of the same protein in accordance with the present invention when subjected to the same optical excitation and collection conditions.

**[0070]** The image and Raman spectra shown in Figure 5 illustrate the segregation of protein and buffer species following precipitation on a 50 nm PTFE on stainless steel sample support. The white light optical image in Figure 5A is that of an about  $300\ \mu\text{m} \times 250\ \mu\text{m}$  region near the edge of an about 4 mm diameter circular deposit of a 10  $\mu\text{l}$  volume of solution containing 10  $\mu\text{M}$  lysozyme and 1 mM PBS buffer. The protein and buffer precipitates that formed on the sample support, following solvent evaporation, are evident in this image.

**[0071]** The Raman spectra derived from different regions are shown in Figure 5B. Spectrum (a) was collected near the edge of the deposition region, while spectrum (b) was collected from a large solid deposit present nearby. The different shapes of these spectra clearly indicate that they derive from different chemical species. For comparison purposes, the top spectrum in Figure 5B shows a Raman spectrum of a similarly deposited sample of a solution of 10  $\mu\text{M}$  lysozyme without any buffer. The similarity between the top spectrum and spectrum (a) demonstrates that essentially pure lysozyme has segregated away from the buffer upon solvent evaporation. This segregation is further supported by comparison to previously published Raman spectra of crystalline and aqueous lysozyme. The spectrum obtained from region (b) in

Figure 5A, however, is characteristic of the solid dibasic phosphate buffer that has prominent Raman bands at 985, 870, and 514  $\text{cm}^{-1}$ .

**[0072]** The image and Raman spectra shown in Figure 6 illustrate the segregation of the same protein and a different buffer species following precipitation again on a 50 nm PTFE on stainless steel sample support. The white light optical image in Figure 6A is of a similar region near the edge of a similar deposit of a 10  $\mu\text{l}$  volume of solution containing 10  $\mu\text{M}$  lysozyme and 1 mM Tris-HCl buffer. The protein and buffer precipitates that formed on the sample support, following solvent evaporation, are again evident in this image. Normal Raman spectra derived from the identified regions of Figure 6A are shown in Figure 6B. Spectrum (a) was collected near the edge of the deposition region, while spectrum (b) was collected from a large deposit present nearby. The different shapes of these spectra clearly indicate that they derive from different chemical species. For comparison purposes, the top spectrum in Figure 6B is the same as that shown in Figure 5B and shows a Raman spectrum of a similarly deposited sample of a solution of 10  $\mu\text{M}$  lysozyme without any buffer. The similarity between the top spectrum and spectrum (a) demonstrates that essentially pure lysozyme has segregated away from the buffer upon solvent evaporation. The spectrum obtained from region (b) in Figure 6A, however, shows prominent Raman bands at 804, 1072, and 1474  $\text{cm}^{-1}$ , which correspond well to those of the Tris cation.

**[0073]** The absence of any discernible buffer bands in the lysozyme spectra, 5(a) and 6(a) indicates that the protein has deposited in essentially pure form using the methods of the present invention. Micro-Raman measurements near the edge of the deposition region are thus able to identify the protein present in 100-fold excess buffer solutions. The lysozyme spectrum shown in Figures 5B and 6B has a comparable signal-to-noise ratio to that reported by others only from solutions of much higher protein concentration and with no buffer. Furthermore, the present spectra were obtained without any solvent or substrate background subtraction, while

previous protein solution studies required careful subtraction of the water spectral component from the protein spectra. Furthermore, these previous protein solution studies did not address the issue of potential interference from additional solution components, such as concentrated buffer or trace fluorescence impurities, which would undoubtedly complicate the solvent subtraction procedure and introduce additional noise.

**[0074]** The generality of the analyte-buffer segregation method of the present invention can be seen from the results shown in Figures 7 and 8 for different protein/buffer combinations. The white light optical image in Figure 7A is from an edge portion of a deposit a 10  $\mu$ l volume of a solution containing 10  $\mu$ M synthetic human insulin and 1 mM PBS buffer. The white light optical image in Figure 8A is from an edge portion of a deposit of a 10  $\mu$ L volume of a solution containing 10  $\mu$ M synthetic human insulin and 1 mM Tris-HCl buffer. Raman spectra were again collected from the different regions on the deposited sample spots, and representative spectra are shown in Figures 7B and 8B respectively. Spectrum (a) was collected in each instance near the outer edge of the deposited sample spot, where an about 100  $\mu$ m wide band of precipitated material can be seen in each image. Spectrum (b) was collected in each instance from a region closer to the center of the deposited sample spot. Again, in both Figures 7B and 8B, the spectra collected from the two regions differ dramatically. The top spectrum in both Figures 7B and 8B, provided for comparison, are the spectrum obtained from lyophilized solid human insulin. The similarity between the top spectrum and spectrum (a) in Figures 7B and 8B again indicates that the synthetic human insulin protein is deposited in essentially pure form near the outer edge of the deposited sample. This is further supported by comparisons of the observed Raman spectra to previous reports of the Raman spectra of human insulin. Spectrum (b) of Figure 7B, on the other hand, again shows prominent Raman bands corresponding to those of the PBS buffer while the spectrum (b) of Figure 8B



shows a spectrum that correspond well to that of the Tris cation. Thus again, the protein and buffer species have segregated after deposition onto the 50 nm PTFE on stainless steel sample support of the present invention, enabling high-quality Raman spectra to be obtained for the protein without interference from the buffer that is present in much higher quantity.

#### EXAMPLE SET B

**[0075]** For this example demonstrating the high level of analyte discrimination that can be achieved using the methods of the present invention, four peptides were synthetically prepared that have the same amino acid sequence, and differ only in the site of phosphorylation. These four peptides have the same sequence found at the carbonyl terminus (residues 521-533) of the pp60<sup>C-src</sup> protein tyrosine kinase. When phosphorylated at Tyr-527, this fragment will suppress pp60<sup>C-src</sup> activity by binding, either inter- or intra-molecularly, to the SH2 domain of pp60<sup>C-src</sup>. The peptides used in this work were synthesized either in non-phosphorylated form, nP, or phosphorylated at one of three different residues, Ser-522, (sP), Thr-523, (tP), and Tyr-527, (yP), as described in Table 1, which also contains the abbreviated notations used in this example to designate each peptide.

**Table 1.** Peptide sequence, phosphorylation site, and short-hand notation.

Peptide sequence	Notation
H <sub>2</sub> N-Thr-Ser-Thr-Glu-Pro-Gln-Tyr-Gln-Pro-Gly-Glu-Asn-Leu-OH	nP
H <sub>2</sub> N-Thr-Ser-Thr-Glu-Pro-Gln-Tyr(PO <sub>3</sub> H <sub>2</sub> )-Gln-Pro-Gly-Glu-Asn-Leu-OH	yP
H <sub>2</sub> N-Thr-Ser-Thr(PO <sub>3</sub> H <sub>2</sub> )-Glu-Pro-Gln-Tyr-Gln-Pro-Gly-Glu-Asn-Leu-OH	tP
H <sub>2</sub> N-Thr-Ser(PO <sub>3</sub> H <sub>2</sub> )-Thr-Glu-Pro-Gln-Tyr-Gln-Pro-Gly-Glu-Asn-Leu-OH	sP

**[0076]** Before performing any measurements, the synthetically prepared peptides were purified using reverse-phase HPLC and analyzed

using mass spectroscopy (MS) in the Macromolecular Structure Facility at Purdue University. All the peptides were dissolved in high purity water (Millipore) with a peptide concentration of 100  $\mu\text{M}$ . A 10  $\mu\text{L}$  volume of each peptide solution was separately deposited on a substrate consisting of polished stainless coated with 50 nm of PTFE and dried in air at ambient temperature. Each peptide formed a ring on the sample support surface after drying. The spectra are taken with a micro-Raman system employing an 80 $\times$  objective used to focus 12 mW of He-Ne laser light (632.8nm) substantially perpendicularly onto the sample surface with spot size of about 2  $\mu\text{m}$ . Raman spectra were collected using a CCD detector-based spectrometer with an integration time of 100 seconds.

**[0077]** The spectra shown in Figure 9 are (a) nP, (b) sP, (c) tP and (d) yP, respectively. The phosphorylation on tyrosine can be seen to introduce more appreciable spectral changes from the non-phosphorylated form than serine or threonine phosphorylation. This may be primarily due to the strong resonance of the strongly Raman active aromatic chromophore present in the side-chain of tyrosine. Some of the spectral differences between yP and nP are very similar to those observed in previously reported resonance Raman studies. Namely, the signal intensity of the 853  $\text{cm}^{-1}$  band from the Fermi-resonance tyrosine doublet is reduced dramatically. Also, the peak positions of bands 1614  $\text{cm}^{-1}$  (ring stretch), 1251  $\text{cm}^{-1}$  (ring-O stretch) are shifted to 1608  $\text{cm}^{-1}$  and 1229  $\text{cm}^{-1}$ , respectively. Furthermore, the 643  $\text{cm}^{-1}$  (the ring deformation mode) and 723  $\text{cm}^{-1}$  bands are shifted to 640  $\text{cm}^{-1}$  and 724  $\text{cm}^{-1}$ , respectively. The Raman spectral signatures of tyrosine are more easily discerned than those of the non-aromatic serine and threonine amino acid residues. The most noticeable spectral differences among the spectra of tP13mer, sP13mer and nP13mer appear in the region between 750  $\text{cm}^{-1}$  and 900  $\text{cm}^{-1}$ . More specifically, the 880  $\text{cm}^{-1}$  band of tP is more intense than that of nP, and is shifted to 875  $\text{cm}^{-1}$  in sP. Although these differences are subtle

when compared to the larger changes observed upon phosphorylation of tyrosine, they are nevertheless highly reproducible when the spectra were taken from samples deposited on the sample support surfaces of the present invention.

**[0078]** Prior to the classification, all the spectra were processed using a Savistky-Golay second derivative algorithm with a 15 pixel window to remove any fluorescence background. Spectral classification was performed with a partial least square (PLS) discriminant program. The classification error can be determined using the leave-one-out method in which each spectrum in the data set is used as a testing sample after training the PLS algorithm using the remaining spectra. A plot of the leave-one-out PLS classification results can be used to visualize the separability of the Raman spectra obtained from different peptides. In particular, the coordinates of each spectral-vector to a point in the plot are defined by the pseudo-probabilities that this particular spectrum belongs to each class in the training set. One should note that by the nature of the PLS discriminant analysis, the pseudo-probability may be either negative or positive numbers, but the summation of all pseudo-probabilities for each class is necessarily equal to one.

**[0079]** The spectral differences between the Raman spectra obtained from specimens prepared according to the methods of the present invention of all four peptides are sufficient to facilitate accurate PLS classifications. Figure 10 shows a plot for the PLS results for sP, tP and nP, obtained as described above. The yP peptide results are not shown since this peptide is much easier to spectroscopically distinguish for the other three peptides shown. The identity of each peptide is indicated by the shape of the marker used for each point, and the clear separation between the sample clusters indicates that, in spite of the subtle differences between the spectra of these three peptides, the differences when analyzed according to the methods of the present invention are sufficient for highly accurate and reproducible PLS classification.

### EXAMPLE SET C

**[0080]** The present example set demonstrates that the present invention can be used to detect and quantify Tyr phosphorylation in tryptic digests of physiologically important proteins. While the peptides used in this example set are produced synthetically, three of them have the same sequence as peptides obtained by tryptic digest of p60c-src, with phosphorylation sites at Y-216, Y-419 and Y-530, respectively, as shown in the sequence in Figure 11A. The fourth peptide is a shorter variant of the above Y-530 containing peptide. The peptides have varying lengths of between 11 and 32 residues and some contain both Tyr and Phe aromatic residues while others contain multiple Tyr residues. Although the four peptides have quite different Raman spectra, tyrosine phosphorylation induces similar spectral changes in all of the peptides. Furthermore, the present example shows that with the help of a partial least squares PLS multivariate calibration algorithm, the present invention can be used to determine the degree of phosphorylation in a peptide mixture with a standard deviation of  $\pm 2\%$ .

**[0081]** The sequences of the four src-peptides used in this study are shown in Figure 11B. The numbers that indicate the position of each amino acid refer to the proto-oncogene tyrosine-protein kinase src (EC 2.7.1.112) (p60c-src) (c-src) sequence shown in Figure 11(A). The numbers appearing after the letter Y shown in Figure 11(B) denote the Tyr phosphorylation site, while the numbers in square brackets denote the location of the sequence in the p60-src protein. The three synthetic src-peptides, Y-216, Y-419, and Y-530[505-536], and the corresponding phosphotyrosyl peptides, were obtained from Alpha Diagnostic Intl. (San Antonio, TX, USA). The fourth src-peptide, Y-530[524-536], and the phosphorylated derivative thereof were purchased from Bachem (King of Prussia, PA, USA). The peptides are all

certified as 99-100% pure except the pY-216 peptide that is certified as 95% pure.

**[0082]** Aqueous solutions (100  $\mu\text{M}$ ) of all the peptides were prepared by dissolving each solid peptide in ultrapure water (Ultrapure Water from VWR), except the longer Y-530 and pY-530 peptides pairs, which were prepared as 50  $\mu\text{M}$  solutions. The pY-530 [505-536] solution needs to be prepared freshly to avoid dephosphorylation, which can be confirmed by MALDI-TOF MS spectra, not shown. A 5  $\mu\text{l}$  volume of each solution was deposited on a substrate consisting of polished stainless steel plate coated with 50 nm of PTFE. After drying in air, normal Raman spectra were collected from the visible ring of peptide using a micro-Raman system having an 80 $\times$  microscope objective to focus the 12 mW excitation laser (He-Ne, 632.8 nm) to a diameter of about 2  $\mu\text{m}$  perpendicularly on the peptide ring, and each spectrum was integrated for 100 seconds.

**[0083]** Figure 12 shows the Raman spectra of the src Y-216 peptide and the tyrosine-phosphorylated pY-216 derivative. The assignments of the prominent Y-216 Raman bands are: 1670  $\text{cm}^{-1}$  (amide I, C=O stretch 80%, N-H deformation and C-N stretch), 1611  $\text{cm}^{-1}$  and 1604  $\text{cm}^{-1}$  (benzene ring stretch of Tyr and Phe, respectively), 1443  $\text{cm}^{-1}$  ( $\text{CH}_2$  scissoring mode), 1237  $\text{cm}^{-1}$  (ring-O stretch of Tyr and amide III), 1207  $\text{cm}^{-1}$  (ring-C stretch of Tyr and Phe), 1030  $\text{cm}^{-1}$  and 1002  $\text{cm}^{-1}$  (Phe ring breathing mode), 848  $\text{cm}^{-1}$  and 828  $\text{cm}^{-1}$  (Tyr doublet), 642  $\text{cm}^{-1}$  (Tyr ring deformation) and 621  $\text{cm}^{-1}$  (Phe ring deformation). The pY-216 peptide has a generally similar spectrum except for the bands associated with the Tyr residue. The Raman band at 1611  $\text{cm}^{-1}$  and 1237  $\text{cm}^{-1}$  are shifted to 1604  $\text{cm}^{-1}$  and 1231  $\text{cm}^{-1}$  in pY-216 peptide, respectively, and the intensity of Raman band at 1207  $\text{cm}^{-1}$  is apparently reduced. The most pronounced change is the collapse of the 848  $\text{cm}^{-1}$  and 828  $\text{cm}^{-1}$  overlapping double in the Y-216 spectrum to a single broad band at 835  $\text{cm}^{-1}$  in pY-216. In addition a red shift of 642  $\text{cm}^{-1}$  to 638  $\text{cm}^{-1}$  is observed

upon phosphorylation. The red-shift (lower frequency) of the  $1611\text{ cm}^{-1}$ ,  $1237\text{ cm}^{-1}$  and  $642\text{ cm}^{-1}$  bands may be due to the replacement of a lighter H atom with a heavier phosphate group. Similar observations have been reported in UV resonance Raman spectra upon tyrosine phosphorylation and crystalline Raman spectra upon the hydrogen bonding states of tyrosine phenolic hydroxyl group. The  $1206\text{ cm}^{-1}$  peak is assigned to the C-ring stretch of both Tyr and Phe residues. Comparison between the two spectra suggests an attenuation of the relative intensity of the  $1206\text{ cm}^{-1}$  band upon Tyr phosphorylation.

**[0084]** The tyrosine doublet at  $\sim 840\text{ cm}^{-1}$  (which collapses to a single band upon phosphorylation) has been assigned to a Fermi resonance between the symmetric ring-breathing fundamental of Tyr and the overtone of the out-of-plane ring vibration of Tyr. Tyr phosphorylation appears to bring the two bands into nearly perfect resonance. Since the weak Fermi resonance splitting (of the order  $20\text{ cm}^{-1}$ ) is close to the width of each band ( $\sim 20\text{ cm}^{-1}$ ), the Fermi doublet appears to form a single broad, flat-topped peak upon phosphorylation.

**[0085]** Figure 13 shows the Raman spectra of the src Y-419 peptide and the tyrosine-phosphorylated derivative thereof. Unlike Y-216 the Y-419 peptide sequence does not contain Phe (F) residue (see Figure 11B). The Phe residue has a strongly Raman active sharp peak at  $1001\sim 1002\text{ cm}^{-1}$  (aromatic ring breathing mode), which appears in Figure 12, but not in Figure 13. The normal Raman spectrum of Y-419, like that of Y-216, shows the  $1666\text{ cm}^{-1}$  (amide I)  $1606\text{ cm}^{-1}$  (benzene ring stretch of Tyr),  $1443\text{ cm}^{-1}$  ( $\text{CH}_2$  scissoring mode),  $1239\text{ cm}^{-1}$  (ring-O stretch of Tyr and amide III),  $1205\text{ cm}^{-1}$  (ring-C stretch of Tyr),  $849\text{ cm}^{-1}$  and  $826\text{ cm}^{-1}$  (Tyr doublet), and  $641\text{ cm}^{-1}$  (ring deformation of Tyr). In the normal Raman spectrum of pY-419 peptide, one again observes the replacement of the doublet peaks around  $850\text{-}820\text{ cm}^{-1}$  with a single broad band at  $830\text{ cm}^{-1}$ . Without the interfering Phe features, the shift of  $1612\text{ cm}^{-1}$  to  $1606\text{ cm}^{-1}$ , and the attenuation of  $1205\text{ cm}^{-1}$  peak

becomes more apparent. The red shift of  $641\text{ cm}^{-1}$  to  $638\text{ cm}^{-1}$  is again observed upon phosphorylation. Those spectral changes are consistent with those observed in the Y-216/pY-216 peptides, and all appear to be general signatures of Tyr phosphorylation. The shift of  $1237\text{ cm}^{-1}$  to  $1220\text{ cm}^{-1}$  peak is  $17\text{ cm}^{-1}$  in src Y-419/pY-419 peptides, which is larger than  $6\text{ cm}^{-1}$  shift in Src Y-216/pY-216 peptides.

**[0086]** A very similar phenomenon can also be found in the normal Raman spectra of Y-530/pY-530[524-536], as shown in Figure 15 (and this peptide also lacks a Phe residue). The different wavenumbers of red-shift can not only be explained by the phosphorylation occurring at Tyr residue for the peptides with or without Phe residue in their sequences. Therefore, another explanation can be related with the amide III band that overlapped with ring-O stretch of Tyr. Amide III band is mainly contributed from C-N-H in plane bending, and the amide III band is sensitive to the structure change related with  $\beta$ -sheet-like ( $1227\text{-}1240\text{ cm}^{-1}$ ) or random coil ( $1240\text{-}1250\text{ cm}^{-1}$ ) peptides/proteins secondary structures than amide I band. Therefore, the Amide III band suggests the peptides without Phe residue follow the random coil to  $\beta$ -sheet-like structural change upon Tyr-phosphorylation, while peptides containing Phe residue remain the  $\beta$ -sheet-like structure during the phosphorylation process.

**[0087]** The Raman spectrum for the src Y-530[505-536] peptide is shown in Figure 14. The src Y-530[505-536] peptide sequence contains three Phe residues and three Tyr residues. Note that although this Y-530[524-536] peptide contains the sequence of src Y-530[524-536] peptide, the normal Raman spectra of the two peptides are quite different because the Y-530[505-536] peptide contains nineteen additional amino acid residues. Only the Y-530 residue in the pY-530[505-536] peptide is phosphorylated under normal physiological conditions, and this is the peptide whose spectrum is shown in Figure 12. In spite of the multiple Tyr and Phe residues in this peptide, the

processes of the present invention again show that phosphorylation converts the doublet to a single peak at  $826\text{ cm}^{-1}$ , as evident in Figure 14. The spectrum of Y-530[505-536] is similar to that of Y-216 since they both contain Phe.

**[0088]** It should be noted that the amide I bands of all of peptides are around  $1666\sim1670\text{ cm}^{-1}$ , which suggests that they all have either a  $\beta$ -sheet-like or random coil structure. Combined with the analysis of amide III bands, Y-216 and Y-530[505-524] may give  $\beta$ -sheet-like structure, but Y-419 and Y-530[524-536] stay as random coil structure, while all the phosphorylated peptides may give  $\beta$ -sheet-like structure. Thus, the methods of the present invention can be used to detect and quantify Tyr phosphorylation in tryptic digests of physiologically important proteins and provide information as to the conformational structure of peptides.

By taking advantage of the normal Raman spectral changes associated with phosphorylation, the methods of the present invention can also be used to measure the composition of partially phosphorylated Y/pY peptide mixtures. To demonstrate this, mixtures of the src Y-530[524-536] and pY-530[524-536] peptides with various relative compositions were prepared and analyzed using PLS calibration. Figure 16 shows the normal Raman spectra of src Y530[524-536], (f), src pY530[524-536], (a), and their mixtures, spectra (b) – (e).

Except for composition differences, the experimental conditions, including the total peptide concentration ( $100\text{ }\mu\text{M}$ ), character of sample support, etc., were kept the same for each measurement. As shown in Figure 16, the peaks at  $1614\text{ cm}^{-1}$ ,  $850\sim820\text{ cm}^{-1}$  (tyrosine doublet) and  $643\text{ cm}^{-1}$  in the normal Raman spectrum change gradually with increased phosphorylation to  $1609\text{ cm}^{-1}$ ,  $831\text{ cm}^{-1}$ , and  $641\text{ cm}^{-1}$ , while  $1208\text{ cm}^{-1}$  attenuates. To train and test the PLS algorithm (using the leave-one-batch-out method), 20 spectra of each composition were used. The resulting predicted percent phosphorylation for each mixture is shown in Figure 17. The best-fit line shows the excellent



linear correlation with the known compositions. The error bars indicate the maximum deviation of predicted percentages obtained from 20 independent measurements at each composition. The standard deviation between the predicted and actual composition for all 180 measurements is  $\pm 2\%$ .

**[0089]** The present example set demonstrates that by using the methods of the present invention one can see that peptides with different sequences show consistent changes in normal Raman spectral features induced by tyrosine phosphorylation. The most prominent changes are the collapse of a Tyr Fermi resonance doublet and the attenuation of a Tyr aromatic ring-C stretch upon phosphorylation. These easily observable changes appear to be universal normal Raman signatures of tyrosine phosphorylation. In addition, more subtle red shifts of the Tyr ring breathing, deformation and ring-O stretch are also observed. Mixtures containing incompletely phosphorylated peptides produce Raman spectra whose shape can be used to quantify the degree of phosphorylation. This has been demonstrated using the sample supports and the methods of the present invention including a PLS multivariate calibration algorithm that is trained to recognize spectra produced by samples with various degrees of phosphorylation.

#### EXAMPLE SET D

**[0090]** It is well known that insulin is a hormone produced in the pancreas to decrease the level of sugar in the blood. The structure of insulin was completely elucidated in 1955 by Frederick Sanger, and was the first protein to be fully sequenced as shown in Figure 18. Insulin is made up two peptide chains, A with 21 amino acid residues and B with 30 residues, linked by two disulfide bonds connecting cysteine residues A7 to B7 and A20 to B19. The A chain has an additional internal disulfide bond between residues A6 and A11. The primary structures of the bovine, porcine, and human insulins are

very similar. Human and porcine insulin differ only by one amino acid at the B30 residue, which is threonine, Thr, or alanine, Ala, respectively. Bovine and human differ by three amino acids identified in Table 2. The three insulin variants have similar tertiary structures.

**TABLE 2 – Sequence Differences Between Various Insulins**

<b>RESIDUE</b>	<b>Bovine</b>	<b>Human</b>	<b>Porcine</b>
<b>A8</b>	Ala	Thr	Thr
<b>A10</b>	Val	Ile	Ile
<b>B30</b>	Ala	Thr	Ala

**[0091]** The normal Raman spectra of these insulin variants can be obtained using the methods of the present invention. Although the resulting spectra are very similar, they can be used to accurately and reproducibly distinguish these insulin variants, with the aid of data processing steps, namely: Savitsky-Golay second derivative pre-processing and multivariate partial least squares (PLS) classification. This example also demonstrates the use of the protein isolation techniques of the present invention in combination with reverse-phase high performance liquid chromatography (RPHPLC).

**[0092]** Bovine, human and porcine insulin were purchased at Sigma. Trifluoroacetic acid (TFA) was procured at Acros and Acetonitrile at Mallinckrodt. Ultra pure water (Milli Q) was used to prepare all solutions. Solutions of bovine and human insulin with concentrations of 2 $\mu$ g/ $\mu$ L were prepared using 0.1%TFA as solvent. The mobile phase of the RPHPLC was made up by two solutions: 0.1% TFA in 100% water or 0.1% TFA in 100% acetonitrile. The column used was a C<sub>4</sub> Microsorb-MV column (300 Å; 46 x 250 mm; Rainin), which was equilibrated in 0.1% TFA. The gradient applied went from 0% B to 60% B during 40 minutes and, then, to 100% B over 10 minutes. A UV detector (Milton Roy SpectraMonitor 3100) was set at 254nm

to detect the chromatographic peaks. The column was returned to the initial conditions of 0% B. The chromatographic peaks containing insulin were collected for further analysis. The concentration of the solution after RPHPLC was measured using a Cary 300 ultraviolet-visible (UV-VIS) spectrophotometer. Each sample was held in a quartz cell with a path length of 1 cm. The wavelength used for the concentration analysis was 280 nm. For the classification experiments, the insulin solutions were lyophilized after the purification step and re-dissolved in ultra pure water.

**[0093]** Gold coated glass substrates were prepared by pretreating glass microscope coverslips by sonicating for 30 minutes in a 10% ethanolamine solution. Chromium (20nm) and gold (20nm) layers were sequentially deposited on these glass slide covers with thermal deposition at  $<10^{-5}$  Torr pressure using a Turbo vacuum evaporator (Fullam, EFFA). The deposition speed of 0.1nm/s was monitored using a Cressington thickness monitor (MEM 10) equipped with a FTM-2 crystal (Ted Pella, Inc.). The sample support was then sonicated in a 50 part acetone and 50 part water solution for 5-10 minutes, rinsed thoroughly with ultra pure water, and dried using Argon gas. The gold substrates were further modified by forming a self assembled monolayer (SAM) by immersing the substrates for 24 hours in a 1 mM ethanolic solution of hexadecanethiol.

**[0094]** Then, 10 $\mu$ L of the RPHPLC insulin fractions were deposited onto the surface and dried in air at ambient temperature before collecting Raman spectra from the ring of protein precipitate around the edge of the deposited spot. The normal Raman spectra measurements were performed after the samples appeared to have completely dried. However, since the resulting spectra of bovine insulin is essentially identical to that previously reported for native insulin in an aqueous solution and clearly differs from the reported spectra for denatured insulin, the deposits apparently retain sufficient water to hydrate the proteins and maintain them in native configuration.

**[0095]** To test the influence concentration differences on the Raman spectra, solutions of various concentrations, 3  $\mu\text{M}$  to 400  $\mu\text{M}$ , were deposited and spectroscopically analyzed. To test the effects of the chemical structure of the surface on the classification process, some of the solution volumes were deposited onto the modified-gold sample supports as described above. Other solution volumes were deposited onto either 50 nm PTFE coated stainless steel sample supports or on a 30 to 50  $\mu\text{m}$  PTFE coated region of a commercial stainless steel MALDI sample support (Bruker AnchorChip). The samples were dried in a desiccator before the Raman measurements were made. All the above sample supports produced essentially similar insulin Raman spectra, above a very small essentially featureless substrate spectral background. Neither the SAM nor the 50 nm PTFE substrate coatings are thick enough to produce any detectable Raman features, while the underlying polished gold or steel is highly reflective and also produces very little background signal. The background signal for the 30-50  $\mu\text{m}$  PTFE coated commercial stainless steel MALDI sample support was greater than that of the 50 nm PTFE coated stainless steel sample support of the present invention, producing some Raman features with peaks around  $1381\text{cm}^{-1}$  and  $733\text{cm}^{-1}$ .

**[0096]** The Micro-Raman system used for the Raman spectral measurements consisted of a 632.8 nm wavelength He-Ne laser (Spectra Physics model 127) with about 15mW directed perpendicularly at an about 2 mm diameter laser spot on the deposited protein sample. The laser was focused onto the sample using a 80X microscope objective (Olympus MDPlan 80). The backscattered Raman signal was collected by the same microscope objective. After collimation and holographic filtering, the backscattered Raman signal was detected using a liquid nitrogen-cooled CCD detector (Princeton Instruments LN/CCD 1152E) mounted to a spectrograph (ISA HR320 f/4.2) with a 1200 grooves/mm ruled grating. An exposure time (CCD integration time) of 100 seconds was used to collect each Raman spectrum.

**TABLE 3**

<b>Frequencies (cm<sup>-1</sup>)</b>	<b>Assignments</b>
516	v(S-S)
626	Phe
646	Tyr
673	V(C-S) of C-S-S-C
726	Skeletal bending
770	Skeletal bending
834	Tr
855	Tyr
898	v(C-C)
962	v(C-C)
1007	Phe
1035	Phe
1130	v(C-N)
1180	Tyr
1210	Tyr & Phe
1267	Amide III ( $\alpha$ -helical)
1319	CH deformation
1341	CH deformation
1450	CH <sub>2</sub> deformation
1616	Tyr
1663	Amide I ( $\alpha$ -helical structure)

**[0097]** Figure 19A shows average Raman spectra of the three insulin variants collected after deposition of 10  $\mu$ l of 100  $\mu$ M solutions. Similar measurements using other concentrations and sample supports demonstrate that the spectral differences resulting from different deposition concentrations or from deposition on the three different types of sample supports are

invariably smaller than the spectral differences between the insulin variants. More specifically, the concentration and substrate induced spectral changes are smaller than the noise in each spectrum, while differences between insulin variants are large enough to be reproducibly detected and used as the basis for multivariate spectral classification as described below. Table 3 lists previously reported assignments of some prominent insulin Raman bands. One can note that phenylalanine (Phe) and tyrosine (Tyr) residues produce many of these vibrational signatures. This is believed to be a result of the high Raman scattering cross section of the aromatic rings in these residues.

**[0098]** Figure 19B shows the average normalized second derivative (NSD) pre-processed spectra of the three insulin variants. The NSD pre-processing was performed using a Savitsky-Golay second derivative algorithm with a 15 pixel window, and normalized so that the area under the square of each second derivative spectrum is equal to one. Thus each NSD spectrum corresponds to an N-dimensional unit vector where N is the number of pixels in the spectrum. This pre-processing procedure helps suppress both broadband background and pixel noise while retaining Raman spectral features.

**[0099]** Figure 20A shows the difference spectra for human minus porcine insulin obtained from the average Raman signal. Figure 20B shows the corresponding NSD spectra for the same difference. The Raman difference spectra were obtained by manually minimizing the  $1616\text{ cm}^{-1}$  (Tyr) and  $1663\text{ cm}^{-1}$  (Amide I) spectral features while the NSD difference spectra were obtained by direct subtraction. The results reveal small spectral differences (approx. 5%) appearing as positive and negative features throughout the spectra. These difference spectra are qualitatively similar to those obtained using other pairs of insulin variants. The most pronounced features in the difference spectra are those associated with the aromatic Phe ring breathing mode around  $1000\text{ cm}^{-1}$ , but a number of other prominent difference features are evident throughout the spectra.

**[00100]** Figure 21 offers another view of the NSD spectral differences in the  $900\text{ cm}^{-1}$  to  $1100\text{ cm}^{-1}$  spectral region. In this case, 30 individual spectra obtained from each insulin variant are superposed to reveal the small systematic differences between the corresponding NSD spectra. These results are particularly noteworthy as they reveal that the variations between spectra obtained from a given insulin variant are smaller than the variations between different insulin variants. This reproducibility is maintained even when the initial concentration of the solutions, and the type of sample support used, are changed. This indicates that the spectral differences that are observed are indeed correlated with the structural differences between the insulin variants rather than deposition concentration or sample support structure. Such systematic spectral variations can be used as the basis for automated PLS training and classification of insulin variants.

**[00101]** Spectral differences are evident not only in the  $1000\text{ cm}^{-1}$  Phe stretch region, but also in the vicinity of the backbone C-C stretching ( $896$ ,  $918$  and  $960\text{ cm}^{-1}$ ) and the C-N stretching region ( $1052$  to  $1116\text{ cm}^{-1}$ ) bands. Other regions in the spectra, such as those shown in Figure 20, containing S-S ( $516\text{ cm}^{-1}$ ) and C-S ( $663$  and  $673\text{ cm}^{-1}$ ) and backbone bending ( $726$ ,  $750$  and  $770\text{ cm}^{-1}$ ) features also show small, yet reproducible, spectral differences between bovine and porcine insulin as seen in Figure 21B. In addition, the difference spectrum shows variations in the  $1341\text{ cm}^{-1}$  and  $1450\text{ cm}^{-1}$  regions that represent the CH and  $\text{CH}_2$  deformation modes, respectively. The degree of spectral difference between the insulin variants is roughly correlated with the corresponding chemical difference. In particular, the human and porcine insulins, which are the most similar chemically, also have the most similar NSD spectra, as shown in Figure 21C, while the human and bovine insulins, which are the most chemically different, also have the most different NSD spectra, as can be seen in Figure 21A.

**[00102]** For classification purposes, 30 spectra were taken from each variant (at two different concentrations), and each spectrum was pre-

processed to produce the corresponding NSD spectrum. These pre-processed spectra were used to train and test a PLS classification algorithm. The leave-one-out (LOO) method was used for training and testing. In particular, 89 spectra were used for training and 1 for testing, the spectrum used for testing was never included in the spectra used to train the PLS algorithm. This training/testing process was repeated for all 90 spectra of the three insulin variants. The classification results demonstrate the ability to identify all three variants with no misidentification errors. Figure 22 shows the corresponding PLS score plots indicating good separation of the spectra of the three insulin variants. Each point in this figure represents a score derived from a different spectrum, using independent PLS leave-one-out training and testing, as described above. The fact that the points cluster into three well-separated groups, corresponding to the three insulin isomers, provides a clear indication that the classification is very robust and accurate.

**[00103]** The Raman signal methods of the present invention can be used to analyze proteins, peptides, nucleotides and other molecules derived directly from chromatographic fractions without first lyophilizing and re-dissolving at higher concentration. Solutions of human and bovine insulin, with an initial concentration of  $2\mu\text{g}/\mu\text{L}$ , were first purified using RPHPLC as previously described. The retention times of the two insulin variants were 28.06 minutes for bovine and 27.91 minutes for human insulin. The concentrations of the solutions were measured by UV. The final concentrations, after RPHPLC, of bovine and human insulin were determined to be  $3.0 \times 10^{-6}$  M and  $2.1 \times 10^{-5}$  M, respectively.

**[00104]** After chromatographic separation and without further preparation, the eluent of each variant was deposited on a PTFE coated stainless steel substrate for Raman spectral measurement. The resulting Raman spectra of both human and bovine insulin are displayed in Figure 23. Due to the low concentrations of each sample, the signal-to-noise ratio of the raw Raman spectra is somewhat poorer than that obtained from higher



concentration solutions. However, after NSD pre-processing, the background is suppressed and the signal-to-noise of the low concentration samples improves so that the resulting NSD spectra look very similar to those from higher concentration solutions as can be seen by comparing Figures 19B, 20B and 23B. Preliminary classification results produced one misclassification out of forty spectra when analyzing normal Raman spectra derived from these lower concentration solutions, which is remarkable accuracy given the clearly higher noise of the lower concentration NSD spectra.

#### EXAMPLE SET E

**[00105]** For this set of examples, two glycan isomers, 6'-N-Acetylneuraminy-D-lactose sodium salt (6-NADL) and 3'-N-Acetylneuraminy-D-lactose sodium salt (3-NADL), were provided by Dr. Novotny from Indiana University. Bovine insulin, human insulin and lysozyme were purchased from Sigma, and subsequently purified by RPHPLC in an acetonitrile/water elution solvent (with a 48:52 acetonitrile:water volume fraction). All other solutions were dissolved in ultrapure water (18.2M $\Omega$ ) obtained using a Millipore MilliQ Plus system. A quartz substrate was purchased from Chemglass. Inc., both gold and silver foils were obtained from Sigma. Stainless steel (552768/14574 430 SS insert) and commercial Anchorchip sample supports were obtained from Bruker. The stainless steel was polished sequentially with alumina of particle sizes of 3  $\mu$ m, 1  $\mu$ m and 0.3  $\mu$ m (Buehler). Silver and gold-coated glass sample supports were produced by sequentially evaporating 20nm Cr and 20nm Au or Ag onto a glass cover slip with a thermal deposition at  $<10^{-5}$  torr pressure with a Turbo vacuum evaporator (Fullam, EFFA). The deposition speed was 0.1nm/second as monitored with a Cressington thickness monitor (MEM 10) equipped with a FTM-2 crystal (TED PELLA Inc.). Prior to coating, the glass cover slip was sonicated in 10% ethanolamine for 30 minutes. Self-assembled monolayer (SAM) on gold-coated glass sample supports were

prepared by dipping gold-coated glass into 1mM hexadecanethiol solution in ethanol for 24 hours. Thin PTFE coatings (either on stainless steel or gold-coated glass) were produced by spin-coating (Model P6708, Cookson Electronics) Teflon AF<sup>®</sup> resin (Dupont) onto the substrates. The thickness of the resulting PTFE coating is about 50nm as determined by atomic force microscopy (AFM) (Nanoscope IIIa, Digital Instruments/VEECO).

**[00106]** Manual deposits of specimens on the supports were performed using micropipettes to deposit 1-10  $\mu$ l volumes and form spots of 0.5-5 mm diameter. The width of the protein ring produced after solvent evaporation scaled roughly with protein concentration, with rings of 10  $\mu$ m - 150  $\mu$ m width produced when depositing solutions of 1  $\mu$ M – 100  $\mu$ M concentration and 10  $\mu$ l volume. Micro-printing of protein spots was performed using a micro-dispenser system (Model MD-K-130, Microdrop GmbH) with protein solutions of 3  $\mu$ M concentration. The resulting spots of about 15-50  $\mu$ m diameter were produced at about 150  $\mu$ m separation by 40 co-depositions of 0.2 nl fluid volumes, for a total volume of 8 nl deposited on a single spot. Raman measurements on the micro-printed spots were performed after a drying period of about 2 minutes and occasionally thereafter during periods as long as several weeks.

**[00107]** Normal Raman spectra were acquired using a custom-built micro-Raman system similar to that described previously. The Raman excitation source used in this example set is a He-Ne laser (632.8nm), with about 12 mW power focused to approximately a 2  $\mu$ m diameter spot on the sample using an 80X microscope objective (Olympus MDplan 80). Back-scattered light was collected using the same objective, collimated, holographically filtered (Kaiser, Super-Notch Plus) and then imaged (using a 43mm focal length achromat) onto the 75 $\mu$ m entrance slit of an ISA HR320 (0.32 m) monochromator, equipped with an 1800 gr/mm grating and a Princeton Instruments LN/CCD-1152E CCD detector. Signal integration

times of 50 seconds to 1000 seconds were used for all Raman measurements.

**[00108]** Figure 24 shows background spectra obtained from several different uncoated (blank) substrates: glass cover slip before (a) and after (b) coating with 20nm of gold, and stainless steel coated with approximately 50nm of PTFE (c). All the background spectra were taken with an integration time of 200 seconds and a laser power of 12mW. It is evident that the glass cover slip (a) yields the highest background, and thus is less useful for the detection of small quantities of analyte using the methods of the present invention. The glass background, however, is markedly reduced after gold coating (b). The background of the gold foil, the SAM over gold-coated glass is essentially the same as that of the gold-coated glass, since hexadecanethiol monolayer is too thin to produce a detectable Raman signal. The same is true for the approximately 50nm PTFE coating on stainless steel, which also produces no additional background (as has been observed with other polymers), while thicker PTFE coatings ( $>1\text{ }\mu\text{m}$  thick) produce Raman features with prominent peaks around  $1381\text{cm}^{-1}$  and  $733\text{ cm}^{-1}$ .

**[00109]** When sample solutions of the same volume are deposited on different sample supports, the diameter of the droplet on the surface depends on the degree of affinity (wetting) between the solution and the sample support. Table 4 lists the average initial (before drying) spot sizes produced when depositing  $10\text{ }\mu\text{L}$  volumes of either  $\text{H}_2\text{O}$ , acetonitrile/water (48:52 v/v, HPLC solvent) or methanol onto quartz, PTFE-coated stainless steel, and SAM-coated gold. It will be noted that the smallest spot sizes are very close to the theoretical limit expected on a non-wetting sample support if the initially deposited drop has the shape of a half sphere, which corresponds to an attack angle of about  $90^\circ$ , in which case a  $10\text{ }\mu\text{L}$  volume should produce a spot diameter of 3.4 mm.

**TABLE 4**

Average spot diameter (mm) produced when depositing 10  $\mu\text{l}$  of solvent.

Solvent	Water	Acetonitrile/Water <sup>(a)</sup>	Methanol
Sample support			
Quartz	4	5	>10 <sup>(b)</sup>
PTFE-SS	3	3	5
SAM-Au	3	3	5

(a) HPLC solvent with 48:52 volume ratio.

(b) Spots of greater than 10 mm were also produced when depositing a 5  $\mu\text{l}$  volume.

**[00110]** A suitable sample support should be resistant to chemical and thermal degradation. No evidence of damage to either the sample supports or the deposited analytes was observed when using SAM over gold-coated glass, and PTFE coated gold or stainless steel substrates. The only evidence for possible chemical degradation on any of the sample supports was observed with glycans (6-NADL and 3-NADL) deposited onto a silver foil sample support, whose Raman spectra were found to change with time. As discussed below, laser power dependent studies reveal no evidence for optical/thermal damage of either the analytes or sample supports used in this example set.

**[00111]** To investigate whether there is any optical damage under various laser power conditions, Raman spectra of lysozyme were taken under different laser powers. Spectra (a) and (b) in Figure 25 are both derived from spots produced using 2  $\mu\text{l}$  of a 100  $\mu\text{M}$  aqueous solution, deposited onto a sample support comprising PTFE-over-gold-coated glass. The laser power and the integration time used to produce spectrum (a) was 0.3 mW and 600 seconds, while 12 mW and 100 seconds was used to produce spectrum (b). Careful comparison of these two spectra shows no laser heating or photochemistry induced changes in spectral shape, thus indicating that 12 mW (which is about  $4 \times 10^5 \text{ W/cm}^2$ ) is below the optical damage threshold of

this sample. The same laser intensity invariance was found in samples of insulin despite previous studies that suggested thermal denaturation of insulin would produce clearly visible Raman spectral changes, particularly in the  $1200\text{ cm}^{-1}$  to  $1300\text{ cm}^{-1}$  spectral region. The long-term stability of the insulin spots was investigated by acquiring Raman spectra, not shown here, after storing the sample for various periods of time in a refrigerator near  $0\text{ }^{\circ}\text{C}$ . No visible variation of the insulin spectral features were observed after more than 3 weeks of cold storage and heating back up to room temperature. A comparison of our spectra with previous Raman spectra attributed to the native and denatured states of insulin, suggests that the insulin in the spots remains in its native conformation both immediately after deposition and after prolonged storage.

**[00112]** The spectrum (c) in Figure 25 shows the spectral results obtained from the deposition of a total of about 25 fmol of lysozyme from a  $3\text{ }\mu\text{M}$  solution using 40 micro-printing co-additions each of  $0.2\text{ nL}$  volume. The strength of the Raman scattering from the micro-printed lysozyme is of the order of 10-100 times smaller than that from the deposits that produced the spectra (a) and (b) in Figure 26, suggesting that the micro-printed protein deposit is at least 10 times thinner. Since the intensity of a normal Raman spectrum is proportional to the surface density of the analyte on the substrate for a given laser power, it is desirable to confine of the deposited sample to the smallest possible area so as to maximize the Raman signal to-noise ratio. A confocal micro-Raman system can achieve lateral and depth resolution of the order of  $1\text{ }\mu\text{m}$ . Such a small collection volume is estimated to contain less than  $0.1\text{ fmol}$  of deposited protein (less than  $1\text{ pg}$  of a  $10,000\text{ Dalton}$  protein), which may be taken as an estimate of the protein detection limit that could theoretically be achieved if all the protein of interest were deposited in a volume of a few  $\mu\text{m}^3$ . This theoretical detection limit is very close to the amount of micro-printed lysozyme that produced the spectrum in Figure 25 (c),

since only about 1/40 of the 25 fmol deposited in a 15  $\mu\text{m}$  diameter spot was contained in the 2  $\mu\text{m}$  diameter laser irradiated area. Furthermore, since the 20 mW laser power used is below the optical damage threshold of the deposited protein and glycan samples, there is room for further improvement in throughput and/or signal-to-noise using higher laser powers.

**[00113]** In view of the low strength of the normal Raman signal from samples having low surface density of the analyte of interest, it is desirable to identify “hot spots” of sufficient surface density to provide reliable spectra. This can be accomplished by IR reflective or refractive microscopy to locate potential areas of high surface density. FTIR can then be employed to obtain a spectral scan of any located potential area followed by the collection of a normal Raman spectra to confirm the identity of the species forming the high surface density deposit. Figure 26 A is an about 200  $\mu\text{m}$  x 400  $\mu\text{m}$  visible light image of a small section of a 64 x 64 spot array of micro-printed spots of about 50  $\mu\text{m}$  diameter spaced from each other by about 150  $\mu\text{m}$ . Each spot is estimated to contain only about 20 fmol of lysozyme, recognizably deposited in a “coffee ring” structure. If the lysozyme is uniformly deposited around the ring, then the surface density of the deposit is below the theoretical detection limit identified above. Despite this low average surface density the normal Raman spectra shown in Figure 26 B was obtained from a point on one of the rings of the array. Figure 27 A is a visible light image of the ring deposit from which the spectrum shown in Figure 26 B was taken. This ring deposit was initially imaged with the aid of a FTIR “false color” ( $4096\text{ cm}^{-1}$ ) image shown in Figure 27 B, which shows a small area of high surface density located at the intersection of the cross-hairs. This scan for points of high surface density can be quickly performed, and a spectral scan conducted of any point that appears to be of possible interest. A FTIR spectral scan of this point, shown in Figure 27 C has prominent peaks indicating the presence of a protein. FTIR spectral scans cannot be relied on to uniquely identify the proteins that might be

present in a given specimen, although FTIR does provide additional information that can be useful in proteomics generally.

**[00114]** Figure 28 A shows FTIR spectral scan of four points within the “hot spot” identified in Figure 27 B, the spectra being off-set vertically with respect to each other to enhance visibility. It is to be noted that the wavelength axis in Figure 28A is reversed from that shown in Figure 27 C. All the spectra in Figure 28 A again indicate the presence of a protein and the fine structure of the four spectra suggests that the “hot spot” is a deposit of the same protein throughout. Figure 28 B shows the normal Raman spectra collected from the same four points within the “hot spot”, the spectra again being vertically off-set to enhance visibility. The four spectra show some intensity differences, but in each instance reflect the recognizable spectrum for lysozyme. It is important to realize that the information provided by the normal Raman spectra is quite different from the information provided by FTIR. This is visibly demonstrated by considering Figures 29 A and B which show four FTIR and Raman spectra, respectively, taken from micro-printed ring deposits of carbamazepine formed in a manner substantially identical to the ring deposits shown in Figure 26 A. The normal Raman shift spectra for the four points show some amplitude variation but retain most if not all the spectral shape to be expected from carbamazepine. The FTIR absorbance spectra, on the other hand, show such dramatic differences that render interpretation of the spectra difficult. Other proteomic evaluative tools can be used in addition to FTIR and normal on a single specimen deposited on supports of the present invention.

**[00115]** Figure 30 shows normal Raman spectra for HPLC-purified human (a) and bovine (b) insulin obtained following deposition of 10  $\mu\text{L}$  of their respected HPLC fractions onto the PTFE-coated stainless steel substrate with an integration time of 1000 seconds. The concentrations of these samples as determined by UV-VIS absorbance are 21  $\mu\text{M}$  and 3  $\mu\text{M}$ , respectively.

Following the acquisition of the normal Raman spectra, MALDI-TOF spectra were obtained using a Brucker Reflex<sup>TM</sup> III instrument in linear mode, with 100 accumulated acquisitions, an acceleration voltage of 25 kV and a Nitrogen desorption laser of 337 nm wavelength. The MALDI matrix solution contained 10 mg/ml of 3,5-Dimethoxy-4-hydroxycinnamic acid in a mixture of solvent (50:50:0.1 water/acetonitrile/trifluoroacetic acid). The sample support used for sequential normal Raman and MS spectral acquisition is PTFE-coated stainless steel. After deposition and normal Raman spectral measurement a 2  $\mu$ l volume of the matrix solution was deposited over the top of the spot and MALDI-TOF spectra were recorded after the matrix solution visually dried.

**[00116]** The normal Raman and MALDI-TOF spectra shown in Figure 31 were collected from the same protein spot on a sample support of PTFE-coated stainless steel, with 2  $\mu$ l, 1  $\mu$ M of an aqueous lysozyme deposited. The normal Raman spectrum (upper panel) was obtained with the acquisition time of 100 seconds with a laser power of 12mW. The spectrum (b) in the lower panel is the MALDI-TOF spectrum obtained after adding 2  $\mu$ l of matrix solution. The two major peaks in the MALDI-TOF spectrum correspond to singly charged ( $M/Z$  14284) and doubly charged ( $M/Z$  7154) lysozyme ions. The MALDI-TOF spectrum was obtained without optimizing the experimental parameters for the MS spectral acquisition.

**[00117]** The normal Raman spectra of these HPLC fractions could not be detected on a quartz substrate, due to the stronger wetting interaction between latter substrate and the acetonitrile/water solvent, which increased the spot size and thus reduced the deposited protein surface density. Quartz can be used as a substrate for form a specimen support of the present invention that can be used in transmission as well as in reflection. Figure 32 shows spectra shown here were obtained by depositing 5 $\mu$ L of 10 $\mu$ M lysozyme on a PTFE coated quartz surface, the PTFE coating being sufficiently thin and uniform as to not affect the FTIR or normal Raman spectra, either in transmission or reflection mode. Spectra (a) and (b) were



acquired by focusing the excitation laser on the region with and without lysozyme respectively. Spectrum (c) is the difference between spectra (a) and (b). All the spectra were scaled and off-set for better visualization. The excitation laser is 632.8nm, integration time of 200s with 100x objective.

**[00118]** The methods of the present invention not only facilitate the measurement of Raman spectra of analytes derived from low concentration solutions, but also serve as effective methods for separating biological polymers, oligomers, and monomers including proteins, peptides, polysaccharides, glycans, nucleotides, and other molecules from other solution components including fluorescent impurities and buffers. Thus the present methods can produce much the same effect as a recrystallization or chromatographic purification processes. Both kinetics and thermodynamics may well contribute to the observed segregation.

**[00119]** Kinetics contributions to segregation can include the so-called “coffee ring” effect produced by convective streaming occurring during the evaporation of a liquid on solid sample support. This effect is presumed to be a significant driving force for the observed propensity of proteins, peptides, nucleotides and other larger oligomers and biological polymers to accumulate in a ring around the outer edge of the deposits achieved with the present method. The kinetics of crystallization can also contribute to segregation since compounds with a higher rate of nucleation and crystal growth would tend to deposit separately from those with lower crystallization rates.

**[00120]** Thermodynamics can also be expected to drive segregation since pure solids often have lower free energy than solid solutions, except perhaps when the compounds have very similar structure, or tend to deposit as amorphous solids, or in the relatively unusual circumstance that compounds of very different structure are able to efficiently co-crystallize.

**[00121]** The differential solubilities of various solution components may also play a role in segregation. For example, since proteins, peptides, nucleotides and larger oligomers and biological polymers are relatively

insoluble they may generally fall out of solution early in the evaporation process. On the other hand, highly soluble compounds (such as buffers), or impurities present at very low initial concentration (such as fluorescent impurities), may remain dissolved in an evaporating drop far longer, and so tend to ultimately deposit somewhere inside the region encircled by the outer ring.

**[00122]** Although chemical segregation using the methods of the present invention should be widely applicable, the methods are certainly not expected to be universal. The methods are useful in connection with some, but not all, soluble solids. Examples in which the segregation methods of the present invention may not work include systems that do not easily crystallize, such as sugars, particularly complex carbo-hydrates, which tend to form viscous hydrates upon evaporation, or which may tend to retain fluorescent impurities. In addition, compounds with a strong chemical affinity for each other are not expected to segregate in accordance with the present method. For example, preliminary studies have indicated that solutions containing lysozyme (10  $\mu$ M) and Rhodamine-6-G (10 nM) do not segregate upon deposition, presumably indicating a strong association between these two molecules. Such negative segregation results may in fact prove to have practical applications in screening for interactions between proteins, peptides, glycans, nucleotides, and various other compounds of environmental or pharmaceutical importance.

**[00123]** Normal Raman spectra collected using the methods of the present invention can be used to measure and analyze various phosphorylation states of proteins such as tyrosine kinase peptide. Thus, Raman combined with PLS can be used to accurately detect the site of phosphorylation on a peptide. Although phosphorylation of tyrosine is most easily detectable, the weaker signatures associated with serine and threonine phosphorylation are sufficiently reproducible to facilitate highly reliable spectral classification. Since the methods of the present invention are non-destructive,

they can also be used in combination with MALDI-MS to reap the synergistic benefits of multi-spectral analysis.

**[00124]** Thus, Raman spectroscopy can be used to detect subtle differences between proteins of similar amino-acid composition. The methods of the present invention can be used to collect high quality Raman spectra from small volumes of low concentration protein solutions. A multivariate PLS classification algorithm can be used reliably to identify insulin variants from small differences between their Raman spectral features. Moreover, Raman spectra obtained from RPHPLC fractions demonstrate the application of the present invention as a chromatographic detection method.

**[00125]** The exquisite structural sensitivity demonstrated by the present results suggests that Raman spectroscopy can be used with the methods of the present invention to add a valuable dimension of information to current proteomic diagnostic methods. Raman spectroscopy contains fingerprint information that is sensitive to the chemical bonding structure of proteins, peptides and other molecules of interest, and thus can be complementary to and used in combination with information obtained from chromatographic, other spectrographic, and mass spectral analysis. The combined use of Raman with the methods of the present invention and other analytical tools promises to facilitate the enhanced detection of subtle differences between individual proteins and/or protein-peptide mixtures, such as those associated with biomarkers for disease states as well as genetically, environmentally, or pharmaceutically induced physiological responses.